

**Agenda Item: 17**

Nikki Cocks, Director of Operations and Delivery  
 NHS Norwich CCG Governing Body  
 Tuesday 27th January 2015



**Norwich**

**Clinical Commissioning Group**

<b>Subject:</b>	Governing Body Assurance Framework
<b>Presented By:</b>	Nikki Cocks Director of Operations and Delivery
<b>Submitted To:</b>	NHS Norwich CCG Governing Body Meeting Tuesday 27 <sup>th</sup> January 2015
<b>Purpose of Paper:</b>	Information

**Summary:**

The purpose of this paper is to present the Governing Body Assurance Framework (GBAF). The principles and detail of this process are contained within the Governing Body Risk Management Strategy and Policy. The GBAF is presented to each formal Governing Body meeting and contains those risks that have been identified as potentially impacting on the ability of the CCG to meet its key objectives. Mitigating action, outstanding action and proposals to address are included in order to bring the risk to a level that is acceptable and manageable to the CCG.

**High Risk Items:**

- 1.1 (Risk of Failure of NNUH to provide Referral to Treatment). Plans to clear backlog, which were agreed with NHS England (NHSE), were not met by 31/12/14. NHSE working with CCGs and NNUH to recover the position (reviewing weekly).
- 1.7 (Risk of NSFT Radical Pathway Redesign). Awaiting outcome of CQC inspection which is due end of Jan early Feb 2015.
- 1.8 (Risk of provision of safe urgent care). Plans to relocate the GP-Led Health Centre are moving forward slowly. NHSE leading discussions and the CCG is monitoring progress. Expected move now earmarked at the end of April 2015.
- 1.10 (Risk to NNUH meeting the needs of unplanned and planned care admissions). Systemwide issues are being picked up via Operation Domino and, in Norwich, via the Integrated Care work. The System Resilience Group is awaiting reports from recent reviews to inform more detailed system-wide plans.
- 1.13 (Risk to Sustainability of General Practice). Discussion with GP localities to identify options in the Operating Plan for integration and to maximise use of out of hospital resources. GP support package to be considered at CoM in Jan 2015 and PM Challenge Fund application to be completed.
- 1.16 (Risk to NNUH meeting the Cancer waiting times) Cancer times are not being met in specialties affecting 31-day subsequent surgery and 62-day capacity. Recovery is being monitored through contractual routes.
- 1.17 (Risk to Patient Safety due to inadequate mental health service provision). 31 people placed out of Trust. To be discussed at January CQRM and remains a standing agenda at the MH & LD network.
- 1.18 (Risk to the provision of individual patient packages). Desktop reviews have identified issues relating to contracts, ICAs, activity finance and performance monitoring and gaps in governance and the management of the process. Monitored

via Quality Committee.

- 4.1 (Risk of failure to achieve QIPP) Dedicated QIPP Programme Manager in post and specific QIPP group established reporting to Executive Team and Governing Body on a regular basis.

**New risks**

There are no new risks that have been placed onto the GBAF since the last GBAF was presented to the Governing Body in November.

**No risks have been reduced to lowest mitigated level** (transfer to holding risk register)

**The GB Assurance Framework:**

- Is informed by regular review by the Senior Management Team.
- Will be updated monthly, reviewed by the SMT and used to inform the Governing Body agenda. All items rated high risk will be part of the agenda.
- Is scrutinised by the Audit Committee. Risk owners will attend Audit Committee on a cyclical basis to present mitigating action and answer member questions.

**Recommendation:** Governing Body is asked to note the GBAF and actions being taken to mitigate risks.