

Subject:	Governing Body Assurance Framework
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Submitted To:	NHS Norwich CCG Governing Body Meeting Tuesday 24 th March 2015
Purpose of Paper:	Information

Summary:

The purpose of this paper is to present the Governing Body Assurance Framework (GBAF). The principles and detail of this process is contained within the Governing Body Risk Management Strategy and Policy. The GBAF is presented to each formal GB meeting and contains those risks that have been identified as potentially impacting on the ability of the Clinical Commissioning Group (CCG) to meet its key objectives. Mitigating action, outstanding action and proposals to address are included in order to bring the risk to a level that is acceptable and manageable to the CCG.

High Risk Items:

- 1.1 (Risk of Failure of NNUH to provide Referral to Treatment). Plans to clear backlog not currently agreed with NHSE. Ongoing urgent care demand. NHSE working with CCGs to agree recovery position (reviewing weekly).
- 1.10 (Risk to NNUH meeting the needs of unplanned and planned care admissions). System wide issues being addressed via Domino and for 14/15 via the Integrated Care work. Urgent Care Unit is mobilised and plans for Easter submitted to NHSE.
- 1.13 (Risk to Sustainability of General Practice). Discussion with GP localities to identify options in the Operating Plan for integration and to maximise use of out of hospital resources. GP support package agreed at CoM Jan 2015 and application to PM Challenge submitted awaiting approval.
- 1.14 (Risk to Looked After Children). NCH&C working with commissioners to ensure all statutory requirements are being met and/or agree plans to address. Norfolk Health Safeguarding Children's Advisory Group monitoring progress with ongoing CCG discussion.
- 1.16 (Risk to NNUH meeting the Cancer waiting times) Cancer times are not being met in specialities affecting 31-day subsequent surgery and 62-day capacity, being monitored through contract meetings. Recruitment underway to reduce waiting times.
- 1.18 (Risk to the provision of individual patient packages). Desktop reviews have identified a number of areas for improvement which are being addressed. This is being monitored via Quality Committee.
- 1.19 (Risk to the Provision of Paediatric CHC providers). Issues highlighted to CCGs via network in 2014. NELCSU to undertake review of Paediatric CHC contracts within wider review of all CHC contracts in order to support greater understanding of the issues and identify areas of duplication.
- 1.20 (Risk to the new provisions for Joint commissioning under the Children & Families Act). Joint commissioning Governance framework still needs to be ratified and agreement secured where decision making for joint commissioning sits. Tripartite

meeting (NCH&C, NCC and CCGs) arranged for 16th March to discuss potential impact on current model of integrated delivery.

- 1.21 (Risks to negatively impacting on patient safety and quality of care during NSFT transformation of services leading to inadequate mental health provision). (Merger of risks (1.7 and 1.17) Non-compliance with CQC inspection. Trust in special measures 02/03/2015 improvement action plan in place with monthly stakeholder meetings.
- 4.1 (Risk of failure to achieve QIPP) Dedicated QIPP Programme Manager in post and specific QIPP group established reporting to Executive Team and Governing Body on a regular basis. It has been identified that CHC achievement is at risk.

New risks:

- 1.19, 1.20 and 1.21 noted above

The two risks that were merged (1.21) are transferred to the holding risk register

- 1.7 (Risk of NSFT Radical Pathway Redesign) and 1.17 (Risk to Patient Safety due to inadequate mental health service provision).

The GB Assurance Framework:

- Is informed by regular review by the Senior Management Team.
- Will be updated monthly, reviewed by the SMT and used to inform the Governing Body agenda. All items rated high risk will be part of the agenda.
- Is scrutinised by the Audit Committee. Risk owners will attend Audit Committee on a cyclical basis to present mitigating action and answer member questions.

Recommendation: Governing Body is asked to note the GBAF and actions being taken to mitigate risks.